Monotone Circuit Lower bounds via Query-to-Communication Lifting

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based on joint works with



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FSTTCS 2019

Workshop on Extension Complexity and Lifting Theorems

IIT Bombay

Lower Bounds on Algorithms?

What makes problems computationally hard?

Lower Bounds on Algorithms?

Dynamic Programming



Divide-and-Conquer

Fibonacci Heaps

Fast Fourier Transform

Lower Bounds on Algorithms Circuits?



Size: Number of gates



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Monotone:
$$\forall i : x_i \leq y_i \implies f(x) \leq f(y)$$



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- ► [Razborov85] : MATCHING ∈ P requires super-polynomial sized monotone circuits



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- ▶ [Razborov85] : MATCHING ∈ P requires super-polynomial sized monotone circuits
- ► [Tardos88] : TARDOS ∈ P requires exponential sized monotone circuits



Connections:

- Communication Complexity Karchmer-Wigderson games
- Proof Complexity Monotone Feasible Interpolation
- LP Extension Complexity Hrubeš-Razborov / Göös-Jain-Watson
- Cryptography Secret Sharing



Weak Model



Strong Model







Resolution Refutations



Monotone Circuits

Feasible Interpolation [BPR97, Kra97]



Resolution Refutations

Monotone Circuits













COROLLARY

Monotone (Real) Circuit Complexity of XOR-SAT_n is $2^{n^{\Omega(1)}}$.

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X: input

n

1

$v_1\oplus v_2\oplus v_3$	=	0
$v_1 \oplus v_2 \oplus v_3$	=	1
:		
$v_{n-2} \oplus v_{n-1} \oplus v_n$	=	1

COROLLARY Monotone (Real) Circuit Complexity of Xor-SAT_n is $2^{n^{\Omega(1)}}$.

	X: input	
	\downarrow	
$Xor-Sat_n(X) := 1$	1	$v_1\oplus v_2\oplus v_3~=~0$
· m	0	$v_1\oplus v_2\oplus v_3~=~1$
117	:	:
X is <i>un</i> -satisfiable	1	$v_{n-2} \oplus v_{n-1} \oplus v_n = 1$



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Monotone vs Non-monotone Separations

► XOR-SAT \in NC² ▶ MATCHING \in RNC² [Razborov 85] ▶ Tardos $\in P$

[Tardos 88]

Corollary

Monotone (Real) Circuit Complexity of Xor-SAT_n is $2^{n^{\Omega(1)}}$



Method of Approximations





Lifting theorems!



Resolution Refutations



Monotone Circuits

Lifting theorems!



Resolution Refutations

Monotone Circuits

Query Complexity

Lifting Theorem

Communication Complexity

Communication Complexity [Yao79]



Search Problem *R* Relation $R \subseteq \mathcal{X} \times \mathcal{Y} \times \mathcal{O}$

Alice:	$x\in \mathcal{X}$
Bob:	$y\in \mathcal{Y}$
Output:	$o \in R(x, y)$

CC(R) := number of bits

Communication Complexity [Yao79]



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[Karchmer-Wigderson 88] Search Problem mKW_f monotone $f : \{0,1\}^n \rightarrow \{0,1\}$

Alice:	$x \in f^{-1}(1)$
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Output:	<i>i</i> s.t. $x_i = 1, y_i = 0$



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 $\frac{\text{Theorem [KW 88]}}{\text{CC}(\text{mKW}_f) = \text{Mon-Circuit-depth}(f)}$



[Karchmer-Wigderson 88] Search Problem mKW_f monotone $f : \{0,1\}^n \rightarrow \{0,1\}$

$$\label{eq:cc} \hline \begin{array}{l} \hline \\ \hline \\ \mathsf{CC}(\mathsf{mKW}_f) \ = \ \Theta(\log(\mathrm{Mon}\text{-}\mathrm{Formula}\text{-}\mathrm{size}(f))) \end{array}$$








Formulas Monotone Circuits



Query Complexity

Lifting Theorem

Communication Complexity

Query Complexity



Search Problem S Relation $S \subseteq \{0,1\}^n \times \mathcal{O}$ Input: $z \in \{0,1\}^n$ Output: $o \in S(z)$

DT(R) := number of bits queried = depth of decision tree

unsatisfiable $\mathcal{F} = F_1 \wedge F_2 \wedge \cdots \wedge F_m$

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Query Complexity \iff Resolution Refutations



Search Problem $S_{\mathcal{F}}$ unsatisfiable $\mathcal{F} = F_1 \wedge F_2 \wedge \cdots \wedge F_m$

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Query Complexity \iff Resolution Refutations



Search Problem $S_{\mathcal{F}}$

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Monotone Formulas



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Query-to-Communication Lifting

$$S \subseteq \{0,1\}^n \times \mathcal{O}$$

 $S \circ g^n \subseteq \mathcal{X}^n \times \mathcal{Y}^n \times \mathcal{O}$





Indexing Gadget

$$g : [m] \times \{0,1\}^m \rightarrow \{0,1\}$$

 $g(x,y) = y_x$
 $m = n^{O(1)}$

Lifting Theorem [Raz-McKenzie 99, ...]

Fixed *g*, such that for all *S*:

 $\mathsf{CC}(S \circ g^n) \geq \Omega(\mathsf{DT}(S) \cdot \log m)$





















[Raz-McKenzie 99, ...]

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Bottleneck: Decision Trees & Communication Protocols are all tree-like objects.

Challenge: We need to study *DAG*-like objects!

Resolution Depth

Monotone Formulas



Unprovability of Lower Bounds on Circuit Size in Certain Fragments of Bounded Arithmetic

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Abstract

We show that if strong pseudorandom generators exist then the statement " α encodes a circuit of size $n^{\log \alpha}$ " for SATISFIABILITY" is not refutable in $S_2^2(\alpha)$. For refutation is $S_2^1(\alpha)$, this is proven under the weaker assumption of the existence of generators secure against the attack by small depth circuits, and for another system which is strong enough to prove exponential lower bounds for constant-depth circuits, this is shown without using any unproven hardness assumptions.

These results can be also viewed as direct corollaries of interpolation-like theorems for certain "split versions" of classical systems of Bounded Arithmetic introduced in this paper.

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 $F \subseteq \mathcal{X} \times \mathcal{Y} \times \mathcal{O}$

Communication Tree:



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d

c

V

 $A_{01}(x)$

b

a

 \mathcal{X}



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 $dag^{cc}(F) := log number of nodes in DAG.$

 $F \subset \mathcal{X} \times \mathcal{Y} \times \mathcal{O}$





 \mathcal{X}

 R_u

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Theorem [Razborov 95, Sokolov 17]

 $dag^{cc}(mKW_f) = log Mon-Circuit-Size(f)$

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Monotone Circuit Size



Dag Comm. Complexity

Query Complexity

Lifting Theorem



Query dags

Decision Tree:

 $S \subseteq \{0,1\}^n \times \mathcal{O}$



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$$C_v = 1 * 0 * * 0 * * 1 *$$

$$C_u = 1 * 0 0 * * * * * *$$

$$C_w = * * * 1 * 0 * * 1 *$$

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Explorer vs. Adversary game: [Pud00, AD08]

- Game state is $\rho \in \{0, 1, *\}^n$.
- In each round, Explorer makes a choice:

Query. Explorer chooses $i \in [n]$ Adversary responds $b \in \{0, 1\}$ Update $\rho_i = b$ Forget. Explorer chooses $i \in [n]$

Update $\rho_i = *$

• Game ends when solution to S can be deduced from ρ .

 $dag^{dt}(S) := least d$ such that,

Explorer has a strategy that maintains ρ of width $\leq d$.





$$C_w = * * * 1 * 0 * * 1 *$$





Resolution Refutations

Monotone Circuit Size



Dag Comm. Complexity

Query Complexity

Lifting Theorem



Lifting Theorems! $S \subseteq \{0,1\}^n \times \mathcal{O}$

 $S \circ g^n \subseteq [m]^n \times (\{0,1\}^m)^n \times \mathcal{O}$



 x_1 y_1 x_2 y_2 x_3 y_3 x_4 y_4 x_5 y_5

$$\Omega(\mathsf{dag}^\mathsf{dt}(S) \cdot \log n) \ \leq \ \mathsf{dag}^\mathsf{cc}(S \circ g^n)$$



[Göös-Lovett-Meka-Watson-Zuckerman 16]

+ [Göös-K-Pitassi-Watson 17, Göös-Pitassi-Watson 17]



$$g^n(R)$$
 is like $(\underbrace{b_1, b_2, \dots, b_d}_{\text{fixed}}, \underbrace{*, *, \dots, *}_{\text{random}})$

R is
$$\rho$$
-like for $\rho \in \{0, 1, *\}^n$ with $|\operatorname{fix}(\rho)| \leq d$.

Rectangle $R \subseteq [m]^n \times (\{0,1\}^m)^n$

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 $\sim \rightarrow$



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- Error R_i : contained in $m^{-\Omega(d)}$ fraction of all rows/columns.
- Non-Error R_i : ρ-like with |fix(ρ)| ≤ d. (in fact, support achieved on a single row)

Given n^d -sized Communication DAG for $S \circ g^n$ Extract width-O(d) Explorer strategy for S



Pre-processing:

 Partition all R_v = ⊔_i Rⁱ_v, each Rⁱ_v is ρ-like for |fix(ρ)| ≤ d. (Simplified proof: assume no error rectangles)



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1. Root:
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2. Internal node: $\rho = 0 \ 1 \ 1 \ 0 \ * \ * \ * \ ? \ ? \ * \ * \ *$





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Extract width-O(d) explorer strategy:

- Invariant: Game state ρ : maintain ρ -like $R' \subseteq R_v$.
- 1. Root: $\rho = *^n$ and $R' = R_{\text{root}} = \mathcal{X} \times \mathcal{Y}$.
- 2. Internal node: $\rho = 0 \ 1 \ 1 \ 0 \ * \ * \ * \ 1 \ 0 \ 0 \ * \ * \ *$





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3. Leaf: Output o_v valid for $R \supseteq R'$ and hence for ρ . Game ends!



















Lifting Theorems!



Resolution Width



18/23
Lifting Theorems!



Resolution Width



Monotone Real Circuits







Is there a broader context to these lifting theorems? (or does it sit alone in a corner?)







Non-deterministic query cost of $S_{\mathcal{F}} = k$

Communication

Total Search Problem mKW_f monotone $f : \{0, 1\}^n \to \{0, 1\}$ Input: $(x, y) \in f^{-1}(1) \times f^{-1}(0)$ Output: *i* s.t. $x_i = 1$, $y_i = 0$

Non-deterministic comm. cost of $mKW_f = \log n$

QueryCTotal Search Problem $S_{\mathcal{F}}$
unsatisfiable k-CNF $\mathcal{F} = F_1 \wedge \cdots \wedge F_m$ Total
mInput: $z \in \{0, 1\}^n$ InputOutput:i s.t. $F_i(z) = 0$ Output

Non-deterministic query cost of $S_F = k$

Observation. [LNNW95]

 $\{S_{\mathcal{F}}\}_{\mathcal{F}}$ is *complete* for total search problems with non-deterministic query cost k

Communication

Total Search Problem mKW_f monotone $f : \{0,1\}^n \rightarrow \{0,1\}$ **Input:** $(x, y) \in f^{-1}(1) \times f^{-1}(0)$ **Output:** i s.t. $x_i = 1, y_i = 0$

Non-deterministic comm. cost of $mKW_f = \log n$

Observation. [Gál01] $\left\{\mathsf{mKW}_{f}\right\}_{f}$ is complete for total search problemswith non-deterministic comm. cost log n













Complexity Classes in Communication Complexity Theory [Babai-Frankl-Simon 86] The Landscape of Communication Complexity Classes [Göös-Pitassi-Watson 15]



[Raz-McKenzie 99]

Query Complexity

Comm. Complexity

Karchmer

Wigderson88









21/23



















In Conclusion...







